

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/621,806	07/17/2003	David Randall Blea	TUC920030018US1	1456
46917 759	90 05/03/2006	·	EXAMINER	
KONRAD RAYNES & VICTOR, LLP. ATTN: IBM37			ROSE, HELENE ROBERTA	
315 SOUTH BEVERLY DRIVE, SUITE 210 BEVERLY HILLS, CA 90212		ART UNIT	PAPER NUMBER	
			2163	
			DATE MAILED: 05/03/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

,	Application No.	Applicant(s)				
Interview Summary	10/621,806	BLEA ET AL.				
mierview dummary	Examiner	Art Unit				
	Helene Rose	2163				
All participants (applicant, applicant's representative, PTO	personnel):					
(1) <u>Helene Rose</u> .	(3) <u>Janaki Davda</u> .					
(2) <u>Sana Al-Hashemi</u> .	(4)					
Date of Interview: 27 April 2003.						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2)□ applicant's representative]						
Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If Yes, brief description:						
Claim(s) discussed: <u>1</u> .						
Identification of prior art discussed: <u>Segev -US Patent No. 6,848,021</u> .						
Agreement with respect to the claims f) ☐ was reached. g)⊠ was not reached. h)□ N	I/A.				
Substance of Interview including description of the general reached, or any other comments: <u>See Continuation Sheet</u> .	nature of what was agreed to	if an agreement was				
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no c allowable is available, a summary thereof must be attached	opy of the amendments that w					
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT FILE A STATEMENT OF THE SUBSTANCE OF THE INTE requirements on reverse side or on attached sheet.	last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM,	been filed, APPLICANT IS Y DAYS FROM THIS WHICHEVER IS LATER, TO				
		·				
		1-1				
Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	<u>Jana</u> A Examiner's sign	ature, if required				

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation Sheet (PTOL-413)

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

Examiner Rose and Examiner Al-Hashemi disagreed with attorney of record Janaki Davda as the prior art of record does teach the applicants inventions as it is presently claimed. The attorney of record argued that prior art utilized did not teach copy service solutions based on claim 1; as the claim invention is geared to creating a document, wherein copy service solutions cannot be performed until the converting the document to an executable code, Examiners disagree as Segev does teach copy services solutions, wherein creating a sidefile, wherein changes are made in a data associated with a copy, which is interpreted to be converting, linking to different copy services to be preformed/executed. It was also request by the Examiners to the attorney of records to clearly specify/defined the present claim language and to specify wherein the specification is it defined and how it differs from Segev invention. Janaki Davda, attorney of record stated that claim 1 does not disclose copy services solutions and referred Examiner Rose and Examiner Al-Hashemi to look at Figure 3, wherein a copy service solution is illustrated, in which a point-intime copy and continuous copy is shown and how this corresponds to claim 1 which defines receiving a document describing the copy services solution, which is a chain of based copy solutions defined on page 10, lines 25-28, and page 11, lines 1-3 and on page 3, lines 11-17, which defines prior art copy service solutions.

Examiner stated that Figure 3, is taught in Segev invention, wherein in column 2, lines 45-48, discloses the first copy service includes an extended remote copy service and the second copy service includes a peer-to-peer remote copy service, wherein a peer-to-peer remote copy service is synchronous which causes each write to the primary volume to be performed to the secondary as well, and the I/O is only considered complete when update to both primary and secondary have completed, wherein the peer-to-peer remote copy service is designed to operate either synchronously - defined as occurring at the same time and interpreted to be continuous or asynchronously - defined as "not at the same time." such as asynchronous course is one in which the instruction is delivered at one time and the work can be done at a different time - which is interpreted to be point-in-time copy. Also, a point-in-time copy services allows capturing an image of a database or file system which is taught by Segev (column 2, lines 21-23, column 5, lines 16-23, wherein a each entry holds information related to a write operation and points to a corresponding data track image that must be copied, entries are read out in first in and first out order, i.e. FIFO, when the data associated with and entry are finally read and copied to their destination by the appropriate copy services, which refers by to applicant specification on page 3, lines 11-17, wherein some examples of the services are defined).